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| FORM 1449*<br><b>INFORMATION DISCLOSURE STATEMENT</b><br>IN AN APPLICATION<br>(Use several sheets if necessary) |  |  | Docket Number:<br>MS167378.2/40062.128USC1 | Application Number:<br>10/830,164 |
|   |  |  | Applicant: Srikanth R. Avadhanam           |                                   |
|   |  |  | Filing Date: April 21, 2004                | Group Art Unit: 2171              |

*O I P E DEC 21 2004 CTO PATENT & TRADEMARK OFFICE*

| U.S. PATENT DOCUMENTS |              |         |                        |       |          |                            |
|-----------------------|--------------|---------|------------------------|-------|----------|----------------------------|
| EXAMINER INITIAL      | DOCUMENT NO. | DATE    | NAME                   | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| SC                    | 5204958      | 04-1993 | Cheng, et al.          | 707   | 102      |                            |
| SC                    | 5551027      | 08-1996 | Choy, et al.           | 707   | 201      |                            |
| SC                    | 5692171      | 11-1997 | Andres, Frederic       | 707   | 2        |                            |
| SC                    | 5765158      | 06-1998 | Burrows, Michael       | 707   | 101      |                            |
| SC                    | 5799311      | 08-1998 | Agrawal, et al.        | 707   | 102      |                            |
| SC                    | 5802357      | 09-1998 | Li, et al.             | 707   | 2        |                            |
| SC                    | 5842208      | 11-1998 | Blank, et al.          | 707   | 7        |                            |
| SC                    | 5857180      | 01-1999 | Hallmark, et al.       | 707   | 2        |                            |
| SC                    | 5870752      | 02-1999 | Gibbons, et al.        | 707   | 102      |                            |
| SC                    | 5960194      | 09-1999 | Choy, et al.           | 707   | 102      |                            |
| SC                    | 6078923      | 06-2000 | Burrows, Michael       | 707   | 101      |                            |
| SC                    | 6427148      | 07-2002 | Cossock, David         | 707   | 7        |                            |
| SC                    | 6532458      | 03-2003 | Chaudhuri, et al.      | 707   | 2        |                            |
| SC                    | 6567810      | 05-2003 | Singhal, Amitabh Kumar | 707   | 10       |                            |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|--------------|------|---------|-------|----------|-------------|----|
|  |              |      |         |       |          | YES         | NO |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |   |
|----|--|---|
| SC |  | Geotz Graefe, Diane L. Davidson, "Encapsulation of Parallelism and Architecture-Independence in Extensible Database Query Execution," TSE 19(8): 749-764 (1993) |
| SC |  | Geotz Graefe, "Volcano – An Extensible and Parallel Query Evaluation System," TKDE 6(1): 120-135 (1994)   |
| SC |  | Geotz Graefe, 'Iterators, Schedulers, and Distributed-memory Parallelism,' Software – Practice and Experience 26(4): 427-452 (1996)                             |
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|--|------------------------|---------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>( Not for submission under 37 CFR 1.99)</i> | Application Number     | 10830164                  |
|  | Filing Date            | 2004-04-21                |
|  | First Named Inventor   | Srikanth R. Avadhanam     |
|  | Art Unit               | 2171                      |
|  | Examiner Name          | Unknown                   |
|  | Attorney Docket Number | MS167378.02/40062.128USC1 |

|    |   |   |                          |
|----|---|---|--------------------------|
| SC | 1 | DeWitt, David et al., Parallel Sorting on a Shared-Nothing Architecture using Probabilistic Splitting. 1992. pp. 1-24 | <input type="checkbox"/> |
| SC | 2 | Davidson, Wayne, Parallel Index Building in Informix Online 6.0, page 103   | <input type="checkbox"/> |

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